

10/15/06

PTO/SB/08a (08-03 )

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09836213
Filing Date	2001-04-17
First Named Inventor	Theodore Voltmer
Art Unit	3622
Examiner Name	Raquel Alvarez
Attorney Docket Number	40655.3600

**U.S.PATENTS**

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
R.A.	1	5117355		1991-10-08	McCarthy	
R.A.	2	5256863		1993-10-26	Ferguson, et al.	
R.A.	3	5353218		1994-10-04	De Lapa, et al.	
R.A.	4	5382779		1995-01-17	Gupta	
R.A.	5	5424524		1995-06-13	Ruppert	
R.A.	6	5471669		1995-11-28	Lidman	
R.A.	7	5535407		1996-07-09	Yanagawa, et al.	
R.A.	8	5673322		1997-09-30	Pepe, et al.	

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R.A.	9	5708782		1998-01-13	Larson, et al.	
R.A.	10	5710886		1998-01-20	Christensen, et al.	
R.A.	11	5729693		1998-03-17	Holda-Fleck	
R.A.	12	5749075		1998-05-05	Toader, et al.	
R.A.	13	5761648		1998-06-02	Golden, et al.	
R.A.	14	5765141		1998-06-09	Spector	
R.A.	15	5774868		1998-06-30	Cragun, et al.	
R.A.	16	5774869		1998-06-30	Toader	
R.A.	17	5806043		1998-09-08	Toader	
R.A.	18	5806044		1998-09-08	Powell	
R.A.	19	5816918		1998-10-06	Kelly, et al.	

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Examiner Name	Raquel Alvarez	
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R.A.	20	5832458		1998-11-03	Jones	
R.A.	21	5839117		1998-11-17	Cameron, et al.	
R.A.	22	5845259		1998-12-01	West, et al.	
R.A.	23	5848399		1998-12-08	Burke	
R.A.	24	5855007		1998-12-29	Jovicic, et al.	
R.A.	25	5887175		1999-01-05	Day, et al.	
R.A.	26	5870722		1999-02-09	Albert, et al.	
R.A.	27	5884277		1999-03-16	Khosla	
R.A.	28	5903874		1999-05-11	Leonard, et al.	
R.A.	29	5903880		1999-05-11	Biffar	
R.A.	30	5905246		1999-05-18	Fajkowski	

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R.A.	31	5907830		1999-05-25	Engel, et al.	
I.A.	32	5909023		1999-06-01	Ono, et al.	
R.A.	33	5913210		1999-06-15	Call	
R.A.	34	5915243		1999-06-22	Smolen	
R.A.	35	5918211		1999-06-29	Sloane	
R.A.	36	5918213		1999-06-29	Bernard, et al.	
R.A.	37	5918214		1999-06-29	Perkowski	
R.A.	38	5933811		1999-08-03	Angles, et al.	
R.A.	39	5937394		1999-08-10	Wong, et al.	
R.A.	40	5938727		1999-08-17	Ikeda	
R.A.	41	5950173		1999-09-07	Perkowski	

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R.A.	42	5971277		1999-10-26	Cragun, et al.	
R.A.	43	5974398		1999-10-26	Hanson, et al.	
R.A.	44	5978777		1999-11-02	Garnier	
R.A.	45	5979757		1999-11-09	Tracy, et al.	
R.A.	46	5992752		1999-11-30	Wilz, Sr., et al.	
R.A.	47	5995942		1999-11-30	Smith, et al.	
R.A.	48	6002771		1999-12-14	Nielsen	
R.A.	49	6003013		1999-12-14	Boushy, et al.	
R.A.	50	6007426		1999-12-28	Kelly, et al.	

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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
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## **NON-PATENT LITERATURE DOCUMENTS**

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## **EXAMINER SIGNATURE**

Examiner Signature	<i>Raquel</i>	Date Considered	11/16/06
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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
R.A.	1	6012038		2000-01-04	Powell	
R.A.	2	6015344		2000-01-18	Kelly, et al.	
R.A.	3	6024640		2000-02-15	Walker, et al.	
R.A.	4	6026375		2000-02-15	Hall, et al.	
R.A.	5	6026377		2000-02-15	Burke	
L.P.	6	6035281		2000-03-07	Crosskey, et al.	
R.A.	7	6041308		2000-03-21	Walker, et al.	
R.A.	8	6047269		2000-04-04	Biffar	

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Examiner Name	Raquel Alvarez	
Attorney Docket Number	40655.3600	

R.A.	9	6064979		2000-05-16	Perkowski	
R.A.	10	6092069		2000-07-18	Johnson, et al.	
R.A.	11	6101483		2000-08-08	Petrovich, et al.	
R.A.	12	6134548		2000-10-17	Gottsman, et al.	
R.A.	13	6222914		2001-04-24	McMullin	
R.A.	14	6236978		2001-05-22	Tuzhilin	
R.A.	15	6484147		2002-11-19	Brizendine, et al.	
R.A.	16	6820061		2004-11-16	Postrel	
R.A.	17	6829586		2004-12-07	Postrel	
R.A.	18	6842739		2005-01-11	Postrel	
R.A.	19	6947898		2005-09-20	Postrel	



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R.A.	1	WO9926176	WO		1999-05-27	Concept Shopping Inc.		<input type="checkbox"/>
R.A.	2	WO9930256	WO		1999-06-17	IBM		<input type="checkbox"/>
R.A.	3	WO9952051	WO		1999-10-14	IBM		<input type="checkbox"/>
R.A.	4	WO9960503	WO		1999-11-25	Direct Marketing Technology, Inc.		<input type="checkbox"/>
R.A.	5	WO0014665	WO		2000-03-16	OWNX, Inc.		<input type="checkbox"/>
R.A.	6	WO0101282	WO		2001-01-04	Award-Track Inc.		<input type="checkbox"/>

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RA	1	FALLON: "UK Retailers' Loyal Customer 'Card Wars' Prove Costly (Most major retailers in the UK have grown their sales over the past 2 years by launching loyalty-card programs); Supermarket News 05 May 1997; vol. 47, no. 18, page 57	<input type="checkbox"/>

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09836213	
	Filing Date		2001-04-17	
	First Named Inventor		Votmer, et al.	
	Art Unit		2162	
	Examiner Name		TBA	
	Attorney Docket Number		40655.3600	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
R.A.	1	6249772		2001-06-19	Walker, et al.	
R.A.	2	6386444		2002-05-14	Sullivan	
R.A.	3	6748365		2004-06-08	Quinlan, et al.	

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Application Number	09836213
Filing Date	2001-04-17
First Named Inventor	Voltmer, et al.
Art Unit	2162
Examiner Name	TBA
Attorney Docket Number	40655.3600

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4/20/05.

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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<b>Title of Invention</b>	<b>SYSTEM AND METHOD FOR NETWORKED LOYALTY PROGRAM</b>
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Application Number : 09/836213



Confirmation Number: 6105

First Named Applicant: Theodore Voltmer

Attorney Docket Number: 40655.3600

Art Unit: 3622

Examiner: R. Alvarez

Search string: ( 5128752 or 5200889 or 5467269 or 5907831 or 5983205 or 6014635 or 6101484 or 6196458 or 6243688 or 6251017 or 6267672 or 6332126 or 6334111 or 6336098 or 6450407 or 6532448 or 6594640 or 6687679 or 6721743 or 20010032137 or 20020013728 or 20020069150 or 20020152116 or 20030061097 or 20030229584 or 20040019522 or 20040068438 or 20040128197 or 20040215505 or 20040262381 ).pn

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
P.A.	1	5128752	1992-07-07	Von Kohorn			
P.A.	2	5200889	1993-04-06	Mori			
P.A.	3	5467269	1995-11-14	Flaten			
P.A.	4	5907831	1999-05-25	Lotvin, et al.			
P.A.	5	5983205	1999-11-09	Brams, et al.			
P.A.	6	6014635	2000-01-11	Harris, et al.			
P.A.	7	6101484	2000-08-08	Halbert, et al.			
P.A.	8	6196458	2001-03-06	Walker, et al.			
P.A.	9	6243688	2001-06-05	Kalina			
P.A.	10	6251017	2001-06-26	Leason, et al.			
P.A.	11	6267672	2001-07-31	Vance			
P.A.	12	6332126	2001-12-18	Peirce, et al.			
P.A.	13	6334111	2001-12-25	Carrott			
P.A.	14	6336098	2002-01-01	Fortenberry, et al.			
P.A.	15	6450407	2002-09-17	Freeman, et al.			
P.A.	16	6532448	2003-03-11	Higginson, et al.			
P.A.	17	6594640	2003-07-15	Postrel			
P.A.	18	6687679	2004-02-03	Van Luchene, et al.			

	19	6721743	2004-04-13	Sakakibara			
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## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
RA	1	20010032137	2001-10-18	Bennett, et al.			
RA	2	20020013728	2002-01-31	Wilkman			
RA	3	20020069150	2002-06-06	Ni			
RA	4	20020152116	2002-10-17	Yan, et al.			
RA	5	20030061097	2003-03-27	Walker, et al.			
RA	6	20030229584	2003-12-04	Brown			
RA	7	20040019522	2004-01-29	Bortolin, et al.			
RA	8	20040068438	2004-04-08	Mitchell, et al.			
RA	9	20040128197	2004-07-01	Bam, et al.			
RA	10	20040215505	2004-10-28	Sullivan			
RA	11	20040262381	2004-12-30	Mesaros			

## Signature

Examiner Name	Date
Roger H. Hara	11/16/06

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

11/22/04

Electronic Version v18

Stylesheet Version v18.0

<b>Title of Invention</b>	<b>SYSTEM AND METHOD FOR NETWORKED LOYALTY PROGRAM</b>
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Application Number : 09/836213



Confirmation Number: 6105

First Named Applicant: Theodore Voltmer

Attorney Docket Number: 40655.3600

Art Unit: 3622

Examiner: R. Alvarez

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
CA	1	5128752	1992-07-07	Von Kohorn			
CA	2	5200889	1993-04-06	Mori			
CA	3	5467269	1995-11-14	Flaten			
CA	4	5907831	1999-05-25	Lotvin, et al.			
CA	5	5983205	1999-11-09	Brams, et al.			
CA	6	6014635	2000-01-11	Harris, et al.			
CA	7	6101484	2000-08-08	Halbert, et al.			
CA	8	6196458	2001-03-06	Walker, et al.			
CA	9	6243688	2001-06-05	Kalina			
CA	10	6251017	2001-06-26	Leason, et al.			
CA	11	6267672	2001-07-31	Vance			
CA	12	6332126	2001-12-18	Peirce, et al.			
CA	13	6334111	2001-12-25	Carrott			
CA	14	6336098	2002-01-01	Fortenberry, et al.			
CA	15	6450407	2002-09-17	Freeman, et al.			
CA	16	6532448	2003-03-11	Higginson, et al.			
CA	17	6594640	2003-07-15	Postrel			
CA	18	6687679	2004-02-03	Van Luchene, et al.			

RA	19	6721743	2004-04-13	Sakakibara			
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## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
RA	1	20010032137	2001-10-18	Bennett, et al.			
RA	2	20020013728	2002-01-31	Wilkman			
RA	3	20020069150	2002-06-06	Ni			
RA	4	20020152116	2002-10-17	Yan, et al.			
RA	5	20030061097	2003-03-27	Walker, et al.			
RA	6	20030229584	2003-12-04	Brown			
RA	7	20040019522	2004-01-29	Bortolin, et al.			
RA	8	20040068438	2004-04-08	Mitchell, et al.			
RA	9	20040128197	2004-07-01	Bam, et al.			
RA	10	20040215505	2004-10-28	Sullivan			
RA	11	20040262381	2004-12-30	Mesaros			


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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			Application Number	09/836,213
			Filing Date	April 17, 2001
			First Named Inventor	Voltmer, et al.
			Group Art Unit	u/h/a
			Examiner Name	u/h/a
			Attorney Docket Number	40655.3600
Sheet	1	of	1	

[illegible][illegible]

Examiner Signature	Raguel Akar	Date Considered	1/23/07
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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